

Management Services

Analyst: Hoskins

FY 2020 Actual Expenditures by Division

		FTP	PC	OE	CO	T/B	LS	Total
0.30 FY 2020 Original Appropriation								
0001-00	Gen	126.00	10,185,100	5,503,400	183,600	0	0	15,872,100
0128-00	Ded	0.00	0	140,400	0	0	0	140,400
0282-00	Ded	2.00	107,800	0	0	0	0	107,800
0284-00	Ded	4.00	209,200	92,300	0	0	0	301,500
0349-00	Ded	10.00	860,200	97,400	0	0	0	957,600
Totals:		142.00	11,362,300	5,833,500	183,600	0	0	17,379,400
0.41 Prior Year Reappropriation								
0128-00	Ded	0.00	0	4,614,800	0	0	0	4,614,800
Totals:		0.00	0	4,614,800	0	0	0	4,614,800
0.44 Rescissions								
0001-00	Gen	0.00	(19,200)	0	0	0	0	(19,200)
0282-00	Ded	0.00	(200)	0	0	0	0	(200)
0284-00	Ded	0.00	(300)	0	0	0	0	(300)
0349-00	Ded	0.00	(1,600)	0	0	0	0	(1,600)
Totals:		0.00	(21,300)	0	0	0	0	(21,300)
0.45 Omnibus Decisions								
0001-00	Gen	0.00	0	(208,900)	0	0	0	(208,900)
Totals:		0.00	0	(208,900)	0	0	0	(208,900)
1.00 FY 2020 Total Appropriation								
0001-00	Gen	126.00	10,165,900	5,294,500	183,600	0	0	15,644,000
0128-00	Ded	0.00	0	4,755,200	0	0	0	4,755,200
0282-00	Ded	2.00	107,600	0	0	0	0	107,600
0284-00	Ded	4.00	208,900	92,300	0	0	0	301,200
0349-00	Ded	10.00	858,600	97,400	0	0	0	956,000
Totals:		142.00	11,341,000	10,239,400	183,600	0	0	21,764,000
1.21 Net Object Transfer								
0001-00	Gen	0.00	0	0	0	0	0	0
0128-00	Ded	0.00	0	(3,100)	3,100	0	0	0
0282-00	Ded	0.00	0	0	0	0	0	0
0284-00	Ded	0.00	0	0	0	0	0	0
0349-00	Ded	0.00	0	0	0	0	0	0
Totals:		0.00	0	(3,100)	3,100	0	0	0
1.31 Net Transfer Between Programs								
0001-00	Gen	0.00	(308,000)	0	0	0	0	(308,000)
0128-00	Ded	0.00	0	0	0	0	0	0
0282-00	Ded	0.00	11,500	0	101,700	0	0	113,200
0284-00	Ded	0.00	25,000	31,000	0	0	0	56,000
0349-00	Ded	0.00	(74,000)	0	0	0	0	(74,000)
Totals:		0.00	(345,500)	31,000	101,700	0	0	(212,800)

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		FTP	PC	OE	CO	T/B	LS	Total
1.41	Receipt to Appropriation							
0001-00	Gen	0.00	0	8,000	0	0	0	8,000
0128-00	Ded	0.00	0	0	0	0	0	0
0282-00	Ded	0.00	0	0	0	0	0	0
0284-00	Ded	0.00	0	0	0	0	0	0
0349-00	Ded	0.00	0	0	0	0	0	0
Totals:		0.00	0	8,000	0	0	0	8,000

1.61	Reverted Appropriation							
0001-00	Gen	0.00	(55,700)	(6,800)	(183,600)	0	0	(246,100)
0128-00	Ded	0.00	0	(246,900)	0	0	0	(246,900)
0282-00	Ded	0.00	(600)	0	0	0	0	(600)
0284-00	Ded	0.00	(8,500)	(10,200)	0	0	0	(18,700)
0349-00	Ded	0.00	(5,400)	(9,400)	0	0	0	(14,800)
Totals:		0.00	(70,200)	(273,300)	(183,600)	0	0	(527,100)

2.00 FY 2020 Actual Expenditures								
0001-00	Gen	126.00	9,802,200	5,295,700	0	0	0	15,097,900
General			9,802,200	5,295,700	0	0	0	15,097,900
0128-00	Ded	0.00	0	4,505,200	3,100	0	0	4,508,300
Technology Infrastructure Stabilization			0	4,505,200	3,100	0	0	4,508,300
0282-00	Ded	2.00	118,500	0	101,700	0	0	220,200
Inmate Labor			118,500	0	101,700	0	0	220,200
0284-00	Ded	4.00	225,400	113,100	0	0	0	338,500
Parolee Supervision			225,400	113,100	0	0	0	338,500
0349-00	Ded	10.00	779,200	88,000	0	0	0	867,200
Miscellaneous Revenue			779,200	88,000	0	0	0	867,200
Totals:		142.00	10,925,300	10,002,000	104,800	0	0	21,032,100

Difference: Actual Expenditures minus Total Appropriation

0001-00	Gen		(363,700)	1,200	(183,600)	0	0	(546,100)
General			(3.6%)	0.0%	(100.0%)	N/A	N/A	(3.5%)
0128-00	Ded		0	(250,000)	3,100	0	0	(246,900)
Technology Infrastructure Stabilization			N/A	(5.3%)	N/A	N/A	N/A	(5.2%)
0282-00	Ded		10,900	0	101,700	0	0	112,600
Inmate Labor			10.1%	N/A	N/A	N/A	N/A	104.6%
0284-00	Ded		16,500	20,800	0	0	0	37,300
Parolee Supervision			7.9%	22.5%	N/A	N/A	N/A	12.4%
0349-00	Ded		(79,400)	(9,400)	0	0	0	(88,800)
Miscellaneous Revenue			(9.2%)	(9.7%)	N/A	N/A	N/A	(9.3%)
Difference From Total Approp			(415,700)	(237,400)	(78,800)	0	0	(731,900)
Percent Diff From Total Approp			(3.7%)	(2.3%)	(42.9%)	N/A	N/A	(3.4%)

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Comparative Summary

Decision Unit	Agency Request			Governor's Rec		
	FTP	General	Total	FTP	General	Total
FY 2021 Original Appropriation	125.00	21,451,300	23,809,800	125.00	21,451,300	23,809,800
Executive Holdback	0.00	(422,000)	(422,000)	0.00	(422,000)	(422,000)
Noncognizable Funds and Transfers	2.00	95,800	16,392,000	2.00	95,800	16,392,000
FY 2021 Estimated Expenditures	127.00	21,125,100	39,779,800	127.00	21,125,100	39,779,800
Removal of Onetime Expenditures	0.00	(4,653,400)	(21,825,900)	0.00	(4,653,400)	(21,825,900)
Base Adjustments	0.00	(200,000)	(264,000)	0.00	(200,000)	(264,000)
Restore Rescissions	0.00	422,000	422,000	0.00	422,000	422,000
FY 2022 Base	127.00	16,693,700	18,111,900	127.00	16,693,700	18,111,900
Benefit Costs	0.00	195,800	224,300	0.00	34,500	39,500
Inflationary Adjustments	0.00	60,400	60,400	0.00	60,400	60,400
Replacement Items	0.00	0	456,000	0.00	0	456,000
Statewide Cost Allocation	0.00	363,800	363,800	0.00	363,800	363,800
Change in Employee Compensation	0.00	76,100	86,300	0.00	152,100	172,500
FY 2022 Program Maintenance	127.00	17,389,800	19,302,700	127.00	17,304,500	19,204,100
2. OMS Replacement Phase 4	0.00	2,410,500	2,410,500	0.00	2,410,500	2,410,500
Budget Law Exemptions and Adjustments	0.00	0	0	0.00	0	0
FY 2022 Total	127.00	19,800,300	21,713,200	127.00	19,715,000	21,614,600
Change from Original Appropriation	2.00	(1,651,000)	(2,096,600)	2.00	(1,736,300)	(2,195,200)
% Change from Original Appropriation		(7.7%)	(8.8%)		(8.1%)	(9.2%)

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Budget by Decision Unit	FTP	General	Dedicated	Federal	Total
FY 2021 Original Appropriation					
The Legislature funded one line item for FY 2021, which included \$4,500,000 for Phase 3 of the Offender Management System replacement plan.					
	125.00	21,451,300	2,358,500	0	23,809,800
Executive Holdback					
This adjustment reflects a 5% temporary reduction in General Fund spending authority (holdback) for FY 2021 issued through Executive Order No. 2020-14. This reduction is restored as a base adjustment in FY 2022, below.					
Agency Request	0.00	(422,000)	0	0	(422,000)
<i>Governor's Recommendation</i>	<i>0.00</i>	<i>(422,000)</i>	<i>0</i>	<i>0</i>	<i>(422,000)</i>
Noncognizable Funds and Transfers					
Current year expenditure adjustments include the net-zero reallocation of 8.00 FTP and associated personnel costs (\$320,700) among programs in order to align the appropriation with agency needs. The FTP to be reallocated department-wide include 2.00 legal assistants, 2.00 correctional case managers, 1.00 correctional corporal, 1.00 program manager, 1.00 correctional program coordinator, and 1.00 program specialist. In this division, the adjustment nets to an increase of 2.00 FTP and \$192,000 in personnel costs.					
This adjustment also accounts for \$16,200,000 in CARES Act funding received for the purpose of developing technology infrastructure (\$5,100,000); automating the placement process to achieve decarceration (\$1,600,000); and increasing capacity of Connection and Intervention Stations (CISs), transitional services, and substance use testing (\$9,500,000).					
Agency Request	2.00	95,800	96,200	16,200,000	16,392,000
<i>Governor's Recommendation</i>	<i>2.00</i>	<i>95,800</i>	<i>96,200</i>	<i>16,200,000</i>	<i>16,392,000</i>
FY 2021 Estimated Expenditures					
Agency Request	127.00	21,125,100	2,454,700	16,200,000	39,779,800
<i>Governor's Recommendation</i>	<i>127.00</i>	<i>21,125,100</i>	<i>2,454,700</i>	<i>16,200,000</i>	<i>39,779,800</i>
Removal of Onetime Expenditures					
This decision unit removes onetime amounts appropriated in FY 2021 for line items, replacement items, and onetime CARES Act funds.					
Agency Request	0.00	(4,653,400)	(972,500)	(16,200,000)	(21,825,900)
<i>Governor's Recommendation</i>	<i>0.00</i>	<i>(4,653,400)</i>	<i>(972,500)</i>	<i>(16,200,000)</i>	<i>(21,825,900)</i>
Base Adjustments					
The current year adjustments above (Noncognizable Funds and Transfers), with the exception of onetime CARES Act funding, are restored and then requested as ongoing base adjustments for FY 2022.					
Ongoing base adjustments include the net-zero reallocation of 25.00 FTP and associated personnel costs (\$796,500) among programs in order to align the appropriation with agency needs. The FTP to be reallocated department-wide include 13.00 correctional case managers, 2.00 correctional corporals, 1.00 correctional sergeant, 8.00 correctional specialists, and 1.00 program manager. In this division, the adjustment nets to a decrease of \$264,000 in personnel costs.					
Agency Request	0.00	(200,000)	(64,000)	0	(264,000)
<i>Governor's Recommendation</i>	<i>0.00</i>	<i>(200,000)</i>	<i>(64,000)</i>	<i>0</i>	<i>(264,000)</i>
Restore Rescissions					
This adjustment restores the 5% General Fund holdback removed as a current year expenditure adjustment, above.					
Agency Request	0.00	422,000	0	0	422,000
<i>Governor's Recommendation</i>	<i>0.00</i>	<i>422,000</i>	<i>0</i>	<i>0</i>	<i>422,000</i>
FY 2022 Base					
Agency Request	127.00	16,693,700	1,418,200	0	18,111,900
<i>Governor's Recommendation</i>	<i>127.00</i>	<i>16,693,700</i>	<i>1,418,200</i>	<i>0</i>	<i>18,111,900</i>

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Budget by Decision Unit	FTP	General	Dedicated	Federal	Total
Benefit Costs					
Employer-paid benefit changes include an 11% increase (or \$1,280 per eligible FTP) for health insurance, bringing the total appropriation to \$12,930 per FTP. Also included is a restoration of the unemployment insurance rate, a partial restoration of the unused sick leave rate, and adjustments to workers' compensation that vary by agency.					
Agency Request	0.00	195,800	28,500	0	224,300
<i>The Governor recommends no increase for health insurance due to fewer claims than expected and a one-year holiday for employers who contribute to the PERSI-managed sick leave plan.</i>					
Governor's Recommendation	0.00	34,500	5,000	0	39,500
Inflationary Adjustments					
The department's request for contract inflation includes \$60,400 in ongoing operating expenditures from the General Fund for office lease costs (\$54,500) and the department's online employee education system (\$5,900).					
Agency Request	0.00	60,400	0	0	60,400
Governor's Recommendation	0.00	60,400	0	0	60,400
Replacement Items					
Requested replacement items from the Miscellaneous Revenue Fund include \$456,000 for computer equipment.					
Agency Request	0.00	0	456,000	0	456,000
Governor's Recommendation	0.00	0	456,000	0	456,000
Statewide Cost Allocation					
This request includes adjustments to recover the cost of services provided by other agencies in accordance with federal and state guidelines on cost allocation. Attorney General fees will increase by \$80,400, risk management costs will increase by \$11,500, State Controller fees will increase by \$30,600, State Treasurer fees will decrease by \$100, and Office of Information Technology Services billings will increase by \$241,400, for a net increase of \$363,800.					
Agency Request	0.00	363,800	0	0	363,800
Governor's Recommendation	0.00	363,800	0	0	363,800
Change in Employee Compensation					
Employer-paid benefit changes include an 11% increase (or \$1,280 per eligible FTP) for health insurance, bringing the total appropriation to \$12,930 per FTP. Also included is a restoration of the unemployment insurance rate, a partial restoration of the unused sick leave rate, and adjustments to workers' compensation that vary by agency.					
Agency Request	0.00	76,100	10,200	0	86,300
<i>The Governor recommends a 2% increase in employee compensation, distributed on merit. He does not recommend a compensation increase for group and temporary positions. The Governor recommends the pay structure for state employees be moved by 2% and includes \$800 for that purpose.</i>					
Governor's Recommendation	0.00	152,100	20,400	0	172,500
FY 2022 Program Maintenance					
Agency Request	127.00	17,389,800	1,912,900	0	19,302,700
Governor's Recommendation	127.00	17,304,500	1,899,600	0	19,204,100

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Budget by Decision Unit	FTP	General	Dedicated	Federal	Total
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2. OMS Replacement Phase 4

Management Services

The department requests \$2,410,500 in onetime operating expenditures from the General Fund to begin the fourth and final phase of replacing its Offender Management System (OMS), an application and development project.

The department's current OMS consists of two legacy systems, Reflections and Corrections Integrated Systems (CIS), which are responsible for tracking and recording offender data regarding all aspects of incarceration and community supervision from admission to release. For example, the systems are responsible for business intelligence reporting; case management; risk classification; commissary; caseload assignment, referrals, compliance monitoring, and violation tracking for community offenders; sentence tracking; grievance tracking; incident reporting; inmate transport; interstate compact management; reception and release; and victim notification. According to the department, these systems are antiquated and are limited in their functionality, posing myriad problems for staff and stakeholders.

Prior to receiving funding for the first phase in FY 2019, the department received a supplemental appropriation in the amount of \$370,000 for FY 2017 to contract with a certified project manager to understand the current capabilities and needs of the OMS, develop requirements, and assist in determining the best option for its replacement. Following that evaluation, the department received \$7,016,000 in FY 2019 from the Technology Infrastructure Stabilization Fund (TISF) for the first phase of the replacement process. On August 20, 2018, a request for proposal (RFP) was released and the department ultimately awarded a contract in May of 2019, approximately six months behind schedule. Though the department initially requested an FY 2020 appropriation of \$6,376,000 for the second phase of the replacement project, the delays in the contracting process only allowed it to expend \$2,401,200 of its FY 2019 appropriation for the first phase, which left \$4,614,800 available to be carried over into FY 2020 for the second phase. Thus, the Legislature did not fund the department's request for the second phase and, instead, authorized the reappropriation of \$4,614,800 from TISF for FY 2020. The department was appropriated an additional \$4,500,000 in FY 2021 for the third phase, of which \$400,000 was ongoing for licensing and maintenance. For the fourth phase, the department estimates a need for \$4,500,000 for professional services required to perform the implementation activities and bring the system to operational status. However, the department intends to utilize \$2,089,500 in onetime excess personnel cost appropriation generated in FY 2021 to be carried over to supplement the \$2,410,500 requested here for FY 2022.

If funded, this request would bring the total amount appropriated for this project to \$14,296,500, of which \$400,000 is ongoing beginning in FY 2021. The department's original total cost projection was \$15,552,000.

Agency Request	0.00	2,410,500	0	0	2,410,500
<i>Governor's Recommendation</i>	<i>0.00</i>	<i>2,410,500</i>	<i>0</i>	<i>0</i>	<i>2,410,500</i>

Budget Law Exemptions and Adjustments

CARRYOVER: The agency requests authority to carryover \$2,089,500 in General Fund operating expenditures from FY 2021 into FY 2022 to supplement the \$2,410,500 requested, above, for the fourth and final phase of the OMS replacement plan. Carryover requires legislative approval.

Agency Request	0.00	0	0	0	0
<i>Recommended by the Governor.</i>					
<i>Governor's Recommendation</i>	<i>0.00</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>

FY 2022 Total					
Agency Request	127.00	19,800,300	1,912,900	0	21,713,200
<i>Governor's Recommendation</i>	<i>127.00</i>	<i>19,715,000</i>	<i>1,899,600</i>	<i>0</i>	<i>21,614,600</i>

Agency Request					
Change from Original App	2.00	(1,651,000)	(445,600)	0	(2,096,600)
% Change from Original App	1.6%	(7.7%)	(18.9%)		(8.8%)
<i>Governor's Recommendation</i>					
<i>Change from Original App</i>	<i>2.00</i>	<i>(1,736,300)</i>	<i>(458,900)</i>	<i>0</i>	<i>(2,195,200)</i>
<i>% Change from Original App</i>	<i>1.6%</i>	<i>(8.1%)</i>	<i>(19.5%)</i>		<i>(9.2%)</i>