

Licensing and Certification

Analyst: Randolph

Historical Summary

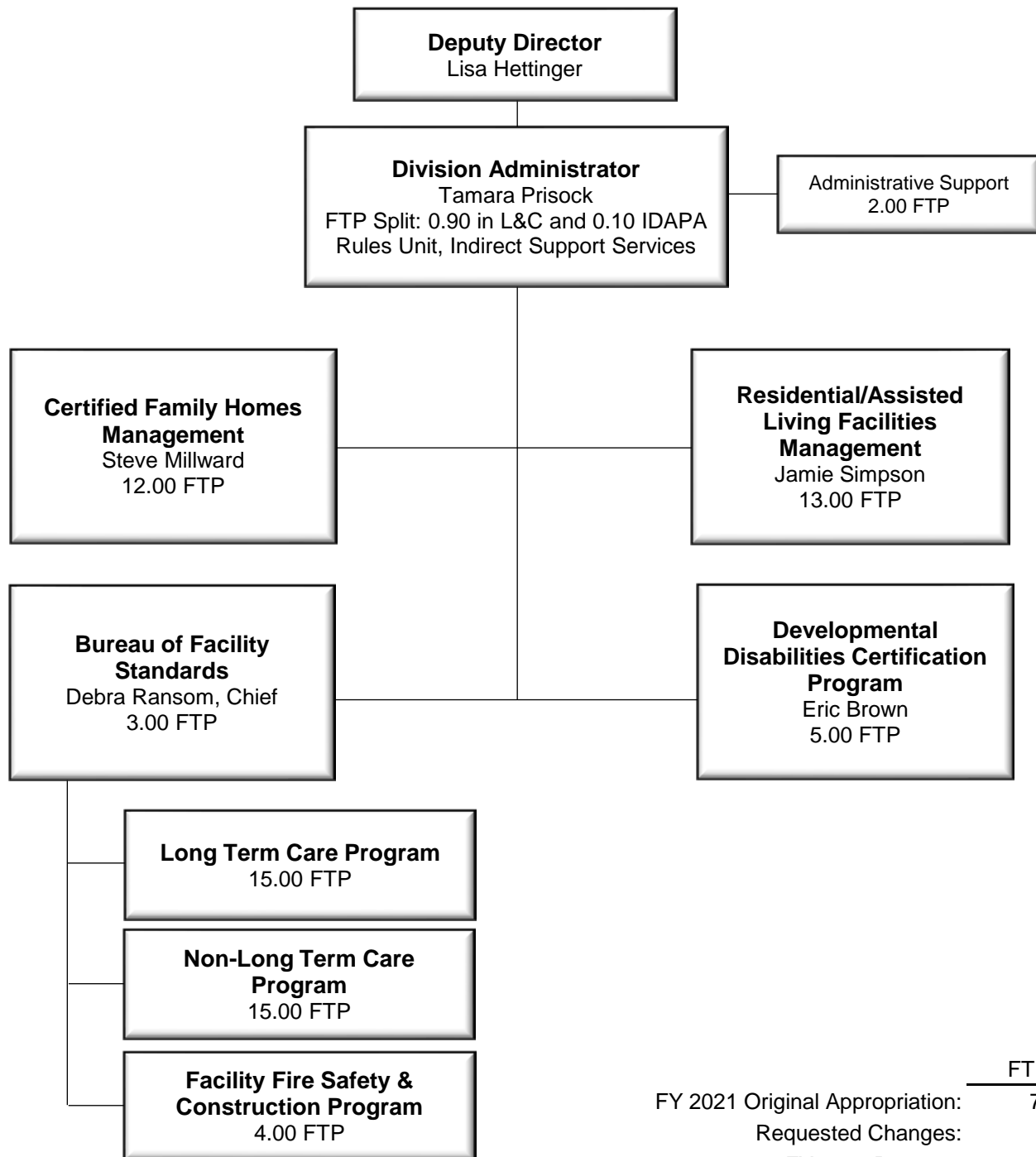
OPERATING BUDGET	FY 2020 Total App	FY 2020 Actual	FY 2021 Approp	FY 2022 Request	FY 2022 Gov Rec
BY FUND CATEGORY					
General	2,044,500	1,977,600	2,040,300	2,086,300	2,074,900
Dedicated	838,200	836,900	850,300	872,200	866,700
Federal	4,673,200	4,523,000	4,732,200	4,837,600	4,811,600
Total:	7,555,900	7,337,500	7,622,800	7,796,100	7,753,200
Percent Change:		(2.9%)	3.9%	2.3%	1.7%
BY OBJECT OF EXPENDITURE					
Personnel Costs	6,622,300	5,852,000	6,718,900	6,892,700	6,849,800
Operating Expenditures	933,600	1,480,100	903,900	903,400	903,400
Capital Outlay	0	5,400	0	0	0
Total:	7,555,900	7,337,500	7,622,800	7,796,100	7,753,200
Full-Time Positions (FTP)	71.90	71.90	71.90	71.90	71.90

Division Description

Licensing and Certification became a new budgeted program in FY 2013. This program surveys, inspects, licenses, and certifies health care facilities that require certification or licensure by either state or federal requirements. The program investigates complaints, conducts on-site surveys, and takes appropriate licensure action to protect the health and safety of vulnerable people receiving health-related services and support.

Licensing & Certification Organizational Chart

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	<u>FTP</u>
FY 2021 Original Appropriation:	71.90
Requested Changes:	0.00
FY 2022 Request:	71.90
Vacant FTP:	11.00
(as of 1/6/2021)	

SINGLE AUDIT REPORT

REPORT	AGENCY	FINDING #	FINDING TITLE	STATUS	REPEAT
2019 Single Audit Report	Department of Health and Welfare Licensing and Certification Division	2019-209	The Bureau of Facility Standards within the Department of Health and Welfare (Department) failed to complete health and safety surveys of long-term care facilities in a timely manner to ensure compliance with the Medicaid program.	OPEN	

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FY 2020 Actual Expenditures by Division

		FTP	PC	OE	CO	T/B	LS	Total
0.30	FY 2020 Original Appropriation							
0220-03	Gen	0.00	1,766,200	281,700	0	0	0	2,047,900
0220-05	Ded	71.90	827,600	12,200	0	0	0	839,800
0220-02	Fed	0.00	4,041,300	639,700	0	0	0	4,681,000
Totals:		71.90	6,635,100	933,600	0	0	0	7,568,700
0.44	Rescissions							
0220-03	Gen	0.00	(3,400)	0	0	0	0	(3,400)
0220-05	Ded	0.00	(1,600)	0	0	0	0	(1,600)
0220-02	Fed	0.00	(7,800)	0	0	0	0	(7,800)
Totals:		0.00	(12,800)	0	0	0	0	(12,800)
1.00	FY 2020 Total Appropriation							
0220-03	Gen	0.00	1,762,800	281,700	0	0	0	2,044,500
0220-05	Ded	71.90	826,000	12,200	0	0	0	838,200
0220-02	Fed	0.00	4,033,500	639,700	0	0	0	4,673,200
Totals:		71.90	6,622,300	933,600	0	0	0	7,555,900
1.21	Net Object Transfer							
0220-03	Gen	0.00	(156,400)	153,700	2,700	0	0	0
0220-02	Fed	0.00	(527,700)	525,000	2,700	0	0	0
Totals:		0.00	(684,100)	678,700	5,400	0	0	0
1.32	Net Transfer Between Programs							
0220-05	Ded	0.00	25,000	0	0	0	0	25,000
Totals:		0.00	25,000	0	0	0	0	25,000
1.33	Net Transfer Between Programs							
0220-02	Fed	0.00	0	150,000	0	0	0	150,000
Totals:		0.00	0	150,000	0	0	0	150,000
1.38	Net Transfer Between Programs							
0220-03	Gen	0.00	2,700	0	0	0	0	2,700
Totals:		0.00	2,700	0	0	0	0	2,700
1.61	Reverted Appropriation							
0220-03	Gen	0.00	0	(69,600)	0	0	0	(69,600)
0220-05	Ded	0.00	(14,100)	(12,200)	0	0	0	(26,300)
0220-02	Fed	0.00	(99,800)	(200,400)	0	0	0	(300,200)
Totals:		0.00	(113,900)	(282,200)	0	0	0	(396,100)
2.00	FY 2020 Actual Expenditures							
0220-03	Gen	0.00	1,609,100	365,800	2,700	0	0	1,977,600
	Cooperative Welfare (General)		1,609,100	365,800	2,700	0	0	1,977,600
0220-05	Ded	71.90	836,900	0	0	0	0	836,900
	Cooperative Welfare (Dedicated)		836,900	0	0	0	0	836,900
0220-02	Fed	0.00	3,406,000	1,114,300	2,700	0	0	4,523,000
	Cooperative Welfare (Federal)		3,406,000	1,114,300	2,700	0	0	4,523,000
Totals:		71.90	5,852,000	1,480,100	5,400	0	0	7,337,500

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FY 2020 Actual Expenditures by Division

	FTP	PC	OE	CO	T/B	LS	Total
Difference: Actual Expenditures minus Total Appropriation							
0220-03	Gen	(153,700)	84,100	2,700	0	0	(66,900)
	Cooperative Welfare (General)	(8.7%)	29.9%	N/A	N/A	N/A	(3.3%)
0220-05	Ded	10,900	(12,200)	0	0	0	(1,300)
	Cooperative Welfare (Dedicated)	1.3%	(100.0%)	N/A	N/A	N/A	(0.2%)
0220-02	Fed	(627,500)	474,600	2,700	0	0	(150,200)
	Cooperative Welfare (Federal)	(15.6%)	74.2%	N/A	N/A	N/A	(3.2%)
Difference From Total Approp		(770,300)	546,500	5,400	0	0	(218,400)
Percent Diff From Total Approp		(11.6%)	58.5%	N/A	N/A	N/A	(2.9%)

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Comparative Summary

Decision Unit	Agency Request			Governor's Rec		
	FTP	General	Total	FTP	General	Total
FY 2021 Original Appropriation	71.90	2,040,300	7,622,800	71.90	2,040,300	7,622,800
Executive Holdback	0.00	(29,600)	(29,600)	0.00	(29,600)	(29,600)
Noncognizable Funds and Transfers	0.00	0	103,000	0.00	0	103,000
FY 2021 Estimated Expenditures	71.90	2,010,700	7,696,200	71.90	2,010,700	7,696,200
Removal of Onetime Expenditures	0.00	0	(103,000)	0.00	0	(103,000)
Restore Rescissions	0.00	29,600	29,600	0.00	29,600	29,600
FY 2022 Base	71.90	2,040,300	7,622,800	71.90	2,040,300	7,622,800
Benefit Costs	0.00	30,700	115,400	0.00	4,900	18,500
Statewide Cost Allocation	0.00	(200)	(500)	0.00	(200)	(500)
Change in Employee Compensation	0.00	15,500	58,400	0.00	29,900	112,400
FY 2022 Total	71.90	2,086,300	7,796,100	71.90	2,074,900	7,753,200
Change from Original Appropriation	0.00	46,000	173,300	0.00	34,600	130,400
% Change from Original Appropriation		2.3%	2.3%		1.7%	1.7%

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Budget by Decision Unit	FTP	General	Dedicated	Federal	Total
FY 2021 Original Appropriation					
The Legislature funded no line items for FY 2021.					
	71.90	2,040,300	850,300	4,732,200	7,622,800

Executive Holdback

This adjustment reflects a 5% temporary reduction in General Fund spending authority (holdback) for FY 2021 issued through Executive Order No. 2020-14. This reduction is restored as a base adjustment in FY 2022, below.

Agency Request	0.00	(29,600)	0	0	(29,600)
Governor's Recommendation	0.00	(29,600)	0	0	(29,600)

Noncognizable Funds and Transfers

This adjustment accounts for the CARES Act funding received by the agency for the purpose of focused infection control surveys performed on behalf of CMS.

Agency Request	0.00	0	0	103,000	103,000
Governor's Recommendation	0.00	0	0	103,000	103,000

FY 2021 Estimated Expenditures					
Agency Request	71.90	2,010,700	850,300	4,835,200	7,696,200
Governor's Recommendation	71.90	2,010,700	850,300	4,835,200	7,696,200

Removal of Onetime Expenditures

This adjustment removes the onetime CARES Act funding received by the agency.

Agency Request	0.00	0	0	(103,000)	(103,000)
Governor's Recommendation	0.00	0	0	(103,000)	(103,000)

Restore Rescissions

This adjustment restores the 5% General Fund holdback removed as a current year expenditure adjustment, above.

Agency Request	0.00	29,600	0	0	29,600
Governor's Recommendation	0.00	29,600	0	0	29,600

FY 2022 Base					
Agency Request	71.90	2,040,300	850,300	4,732,200	7,622,800
Governor's Recommendation	71.90	2,040,300	850,300	4,732,200	7,622,800

Benefit Costs

Employer-paid benefit changes include an 11% increase (or \$1,280 per eligible FTP) for health insurance, bringing the total appropriation to \$12,930 per FTP. Also included is a restoration of the unemployment insurance rate, a partial restoration of the unused sick leave rate, and adjustments to workers' compensation that vary by agency.

Agency Request	0.00	30,700	14,500	70,200	115,400
<i>The Governor recommends no increase for health insurance due to fewer claims than expected and a one-year holiday for employers who contribute to the PERSI-managed sick leave plan.</i>					
Governor's Recommendation	0.00	4,900	2,300	11,300	18,500

Statewide Cost Allocation

This request includes adjustments to recover the cost of services provided by other agencies in accordance with federal and state guidelines on cost allocation. Risk management costs will decrease by \$500.

Agency Request	0.00	(200)	0	(300)	(500)
Governor's Recommendation	0.00	(200)	0	(300)	(500)

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Budget by Decision Unit	FTP	General	Dedicated	Federal	Total
Change in Employee Compensation					
For calculation purposes, agencies were directed to include the cost of a 1% salary increase for permanent and temporary employees.					
Agency Request	0.00	15,500	7,400	35,500	58,400
<i>The Governor recommends a 2% increase in employee compensation, distributed on merit. He does not recommend a compensation increase for group and temporary positions.</i>					
Governor's Recommendation	0.00	29,900	14,100	68,400	112,400
FY 2022 Total					
Agency Request	71.90	2,086,300	872,200	4,837,600	7,796,100
Governor's Recommendation	71.90	2,074,900	866,700	4,811,600	7,753,200
Agency Request					
Change from Original App	0.00	46,000	21,900	105,400	173,300
% Change from Original App	0.0%	2.3%	2.6%	2.2%	2.3%
<i>Governor's Recommendation</i>					
<i>Change from Original App</i>	<i>0.00</i>	<i>34,600</i>	<i>16,400</i>	<i>79,400</i>	<i>130,400</i>
<i>% Change from Original App</i>	<i>0.0%</i>	<i>1.7%</i>	<i>1.9%</i>	<i>1.7%</i>	<i>1.7%</i>