Dear Senators TIPPETS, Patrick, Schmidt, and Representatives HENDERSON, Thompson, Smith:

The Legislative Services Office, Research and Legislation, has received the enclosed rules of the Board of Registration for Professional Geologists:

IDAPA 14.01.01 - Rules of Procedure of the Board of Registration for Professional Geologists (Docket No. 14-0101-1301).

Pursuant to Section 67-454, Idaho Code, a meeting on the enclosed rules may be called by the cochairmen or by two (2) or more members of the subcommittee giving oral or written notice to Research and Legislation no later than fourteen (14) days after receipt of the rules analysis from Legislative Services. The final date to call a meeting on the enclosed rules is no later than 10/16/2013. If a meeting is called, the subcommittee must hold the meeting within forty-two (42) days of receipt of the rules analysis from Legislative Services. The final date to hold a meeting on the enclosed rules is 11/13/2013.

The germane joint subcommittee may request a statement of economic impact with respect to a proposed rule by notifying Research and Legislation. There is no time limit on requesting this statement, and it may be requested whether or not a meeting on the proposed rule is called or after a meeting has been held.

To notify Research and Legislation, call 334-4845, or send a written request to the address on the memorandum attached below



Legislative Services Office Idaho State Legislature

Jeff Youtz Director Serving klaho's Cilizen Legislature

MEMORANDUM

TO: Rules Review Subcommittee of the Senate Commerce & Human Resources Committee and the

House Business Committee

FROM: Principal Legislative Research Analyst - Katharine Gerrity

DATE: September 26, 2013

SUBJECT: Board of Registration for Professional Geologists

IDAPA 14.01.01 - Rules of Procedure of the Board of Registration for Professional Geologists (Docket No. 14-0101-1301)

The Board of Registration for Professional Geologists submits notice of proposed rule at IDAPA 14.01.01 - Rules of Procedure of the Board of Registration for Professional Geologists. According to the board, the purpose of the rule changes are to define "responsible charge" and to clarify when a geologist may seal work prepared by someone else. The board notes that the change will ensure the safety and welfare of the public.

The board states that negotiated rulemaking was not conducted due to the simple nature of the changes and that the changes were discussed during a noticed open meeting of the board. The rule appears to be authorized pursuant to Section 54-2808, Idaho Code.

cc: Board of Registration for Professional Geologists Tana Cory Cherie Simpson

IDAPA 14 - BOARD OF REGISTRATION FOR PROFESSIONAL GEOLOGISTS

14.01.01 - RULES OF PROCEDURE OF THE BOARD OF REGISTRATION FOR PROFESSIONAL GEOLOGISTS

DOCKET NO. 14-0101-1301

NOTICE OF RULEMAKING - PROPOSED RULE

AUTHORITY: In compliance with Section 67-5221(1), Idaho Code, notice is hereby given that this agency has initiated proposed rulemaking procedures. The action is authorized pursuant to Section 54-2808, Idaho Code.

PUBLIC HEARING SCHEDULE: Public hearing(s) concerning this rulemaking will be scheduled if requested in writing by twenty-five (25) persons, a political subdivision, or an agency, not later than October 16, 2013.

The hearing site(s) will be accessible to persons with disabilities. Requests for accommodation must be made not later than five (5) days prior to the hearing, to the agency address below.

DESCRIPTIVE SUMMARY: The following is a nontechnical explanation of the substance and purpose of the proposed rulemaking:

The Board is amending its rules to define 'responsible charge' and to clarify when a geologist may seal work prepared by someone else. This change will ensure the safety and welfare of the public.

FEE SUMMARY: The following is a specific description of the fee or charge imposed or increased: None.

FISCAL IMPACT: The following is a specific description, if applicable, of any negative fiscal impact on the state general fund greater than ten thousand dollars (\$10,000) during the fiscal year resulting from this rulemaking: N/A

NEGOTIATED RULEMAKING: Pursuant to Section 67-5220(2), Idaho Code, negotiated rulemaking was not conducted because the rule change is simple in nature and was discussed during a noticed open meeting of the Board.

INCORPORATION BY REFERENCE: Pursuant to Section 67-5229(2)(a), Idaho Code, the following is a brief synopsis of why the materials cited are being incorporated by reference into this rule: N/A

ASSISTANCE ON TECHNICAL QUESTIONS, SUBMISSION OF WRITTEN COMMENTS: For assistance on technical questions concerning the proposed rule, contact Cherie Simpson at 208 334-3233.

Anyone may submit written comments regarding this proposed rulemaking. All written comments must be directed to the undersigned and must be delivered on or before October 23, 2013.

DATED this 21st day of August, 2013.

Tana Cory, Bureau Chief 700 W State St. P.O. Box 83720 Boise, ID 83720-0063

Ph: 208-334-3233 Fax: 208-334-3945

THE FOLLOWING IS THE PROPOSED TEXT OF DOCKET NO. 14-0101-1301

010. **DEFINITIONS.**

For the purposes of these rules, the following definitions apply:

(3-26-08)

- **01. Act**. The legislation enacted by the First Regular Session of the Forty-first Legislature (Chapter 137, 1971 Session Laws), and compiled at Sections 54-2801, et seq., Idaho Code, providing for registration of professional geologists. (3-26-08)
- **02. Applicant**. Any person who has made application for registration under the Act and who has neither been granted registration nor had the Application denied by the Board. (3-26-08)
- **03. Application.** An Application consists of completed form or forms prescribed by the Board and all official transcripts, reference statements, and a signed code of ethics. (3-26-08)
 - **04. Board**. The Idaho Board of Registration for Professional Geologists as provided for in the Act. (7-1-93)
- **05. Geologist-in-Training.** The interim designation given to any person who has met the academic requirements and successfully passed the fundamental and academic geological portion of the professional examination but has not yet completed the requisite years of experience and passed the practices of geology examination as provided in the Act. The Geologist-in-Training designation is applicable for a period of ten (10) years from notification of the successful completion of the fundamentals of geology examination. If after ten (10) years the Geologist-in-Training has not met all requirements for registration as a professional geologist, the Geologist-in-Training certification is withdrawn and the Applicant must re-apply for registration. The possession of a Geologist-in-Training certificate by an Applicant does not entitle the Applicant to practice professional geology without supervision as provided in the Act. (3-26-08)
- **06. Registrant**. Any person currently registered as a professional geologist under provisions of the Act. (7-1-93)
- **07. Responsible Position**. A position wherein a person, having independent control, direction, or supervision of a geological project, investigates and interprets geologic features. (7-1-93)
- **08.** Responsible Charge. Responsible charge means the control and direction of geology work, requiring initiative, professional skill, independent judgment, and professional knowledge of the content of relevant documents during their preparation.

(BREAK IN CONTINUITY OF SECTIONS)

011. -- 099. (RESERVED)

100. GENERAL PROVISIONS.

- **01. Filing of Documents**. All correspondence, including remittances and renewal fees, shall be directed to the office of the Board. (3-26-08)
- **02. Meetings**. The Board shall meet at least once each year at the call of the chairman; the Board shall elect a chairman and vice-chairman at such annual meeting. In addition to this annual meeting, the chairman may call special meetings from time to time when, in his opinion, it is deemed necessary, or upon the written request of any three (3) members of the Board. (4-9-09)
 - **03. Officers.** Officers elected from the Board shall be chairman and vice-chairman. (4-9-09)
 - **a.** The chairman shall be the executive head of the Board; shall, when present, preside at meetings;

BOARD OF REGISTRATION OF PROFESSIONAL GEOLOGISTS Procedure of the Board of Registration for Professional Geologists

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shall appoint committees; and shall perform all the duties pertaining to the office of chairman.

(3-26-08)

- **b.** The vice-chairman shall, in the absence or incapacity of the chairman, exercise the duties and possess all the powers of the chairman. (7-1-93)
- **04. Committees.** Regular or special committees may be appointed by the chairman, as necessary, to perform special duties and shall present reports to the Board at the time specified or at the earliest regular or special meeting of the Board. (7-1-93)
- **05. Quorum**. As provided in the Act, a quorum shall be at least three (3) members of the Board legally holding office at the time of meeting. Official business of the Board shall be conducted only at Board meetings with a quorum present. (7-1-93)
- **06. Certificates.** Certificates of registration shall be issued to each Registrant, as prescribed by the Act, on forms adopted by the Board. Certificates shall be displayed by Registrants in their place of business. A new certificate may be issued by the Board, to replace one lost, destroyed or mutilated. Each certificate shall bear an individual number, as assigned to that particular Registrant by the Board, which number shall be included in the annual roster of Registrants prepared by the secretary. (7-1-93)

07. Seals. (7-1-93)

- **a.** The official seal of the Board shall consist of a seal of the state of Idaho surrounded with the words "Board of Registration for Professional Geologists." (7-1-93)
- **b.** The Board has adopted a similar seal for use by each registrant. The seal may be a rubber stamp, crimp, or electronically generated image. Whenever the seal is applied, the Registrant's signature and date shall also be included. If the signature is handwritten, it shall be adjacent to or across the seal. No further words or wording are required. A facsimile signature generated by any method will not be acceptable unless accompanied by a digital signature. SEE "APPENDIX A" AT END OF THIS CHAPTER. (3-26-08)
- **c.** The seal, signature, and date shall be placed on all final specifications, reports, information, and calculations, whenever presented to a client or any public or governmental agency. Any such document presented to a client or public or governmental agency that is not final and does not contain a seal, signature, and date shall be clearly marked as "Preliminary," "Draft," "Not for Construction," or with similar words to distinguish the document from a final document.

 (3-26-08)
- d. The seal, signature, and date shall be placed on all original documents. The application of the Registrant's seal, signature, and date shall constitute certification that the work thereon was done by him or under his supervision. Each plan or drawing sheet shall be sealed and signed by the Registrant or Registrants responsible for each sheet. In the case of a business entity, each plan or drawing sheet shall be sealed and signed by the Registrant or Registrants involved. The supervising professional geologist shall sign and seal the title or first sheet. Copies of electronically produced documents, listed in Paragraph 100.08.b. of these rules, distributed for informational uses such as for bidding purposes or working copies, may be issued with the Registrant's seal and a notice that the original document is on file with the Registrant's signature and date. The words "Original Signed By:" and "Date Original Signed:" shall be placed adjacent to or across the seal on the electronic original. The storage location of the original document shall also be provided. Only the title page of reports, specifications, and like documents need bear the seal, signature, and date of the Registrant. (3-26-08)
- e. The seal and signature shall be used by Registrant only when the work being stamped was under the Registrant's supervision responsible charge. Upon sealing, Registrant takes full professional responsibility for that work. After-the-fact ratification by the sealing of documents relating to work that was not performed by the Registrant, but by an unregistered subordinate or other unregistered individual, without thorough technical review throughout the project by the sealing Registrant is prohibited.

 (3 26 08)(____)
- <u>f.</u> In the event a Registrant in responsible charge of a project leaves employment, is transferred, is promoted, becomes incapacitated, dies, or is otherwise not available to seal, sign, and date final documents, the duty of responsible charge for the project shall be accomplished by successor Registrant by becoming familiar with and

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reviewing, in detail, and retaining the project documents to date. Subsequent work on the project must clearly and accurately reflect the successor Registrant's responsible charge. The successor Registrant shall seal, sign, and date all work product in conformance with Section 54-2815, Idaho Code.

08.	Address Change. Each Applicant and Registrant shall notify the Board wit	thin sixty (60) days of
any and all chan	nges of address, giving both old and new address.	(7-1-93)

09.	Board Report. The Board shall publish on its website, among other things:	(4-9-09)
a.	Copy of the Act;	(7-1-93)
b.	Rules of the Board;	(4-9-09)
c.	Minutes of the meetings;	(4-9-09)
d.	Financial report;	(4-9-09)
e.	Roster of Registrants; and	(4-9-09)
f.	Code of ethics.	(4-9-09)